Attorney Docket No.: CSI-20

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF "HAND DELIVERY"

I hereby certify that this correspondence is being hand delivered to the United States Patent and Trademark Office on October \_\_**\_\_1D**\_, 2003.

Heather Saura Tommy Foster

In re Application of:

Schaller, et al.

Serial No. 09/828,322

Filing Date: April 5, 2001

Title: BRIDGE-CLIP TISSUE CONNECTOR

APPARATUS AND METHODS

Examiner: K. Odland

Group Art Unit: 3743

## INFORMATION DISCLOSURE STATEMENT **UNDER 37 C.F.R. § 1.97**

Commissioner for Patents Alexandria, VA 22313

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application, a copy of each of the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

Ц	With the patent application filing.
X	Within three months of the application filing date or before receipt of a first Office Action or
	the merits; accordingly, no fee or separate requirements are required.
	After receipt of a first Office Action on the merits but before a final Office Action or Notice
	of Allowance.

☐ A fee is required. A check in/including the amount of \$\* is enclosed.

- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided below.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

Applicants further bring the attention of the Examiner to the following co-owned pending patent applications:

- U.S. Serial No. 09/828,335 filed April 5, 2001
- U.S. Serial No. 09/847,947 filed May 2, 2001
- U.S. Serial No. 10/188,013 filed July 1, 2002
- U.S. Serial No. 10/208,405 filed July 29, 2002
- U.S. Serial No. 10/364,064 filed February 10, 2003
- U.S. Serial No. 10/408,019 filed April 3, 2003
- U.S. Serial No. 10/439,973 filed May 16, 2003
- U.S. Serial No. 10/653,027 filed August 28, 2003

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1947 referencing Attorney Docket No. CSI-2014. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Date: October 9, 2003

Ву: \_

larry J Macey

Registration No. 37,818 Attorney for Applicant

LAW OFFICE OF HARRY J. MACEY 1301 Shoreway Road, Suite 121 Belmont, CA 94002-4106

Telephone: 650.654.9555 Facsimile: 650.654.9554

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**Application Number** 

Filing Date

Substitute for form 1449A/PTO

Sheet

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary) of

First Named Inventor	Laurent Schaller
Group Art Unit	3743
Examiner Name	Kathryn Odland
Attorney Docket Number	CSI-2014

Complete if Known

09/828,322

April 5, 2001

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Examiner Initials'	Cite No.1	U.S. Patent D	Nocuments  Kind Code <sup>2</sup>	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute fo	or form 1449A/PTO			C	omplete if Known		
				Application Number 09/828,322			
	NFORMATION D			Filing Date April 5, 2001			
	STATEMENT BY	APPLICA	NT	First Named Inventor	Laurent Schaller		
				Group Art Unit	3743		
	(use as many sheets	as necessary	)	Examiner Name	Kathryn Odland		
Sheet	2	of	8	Attorney Docket Number	CSI-2014		

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Examiner	Date	
Signature	Considered	

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Complete if Known RADEMA Complete if Known Substitute for form 1449A/PTO **Application Number** 09/828,322 **INFORMATION DISCLOSURE** Filing Date April 5, 2001 STATEMENT BY APPLICANT **First Named Inventor Laurent Schaller** Group Art Unit 3743 Kathryn Odland (use as many sheets as necessary) Examiner Name Sheet 3 of 8 Attorney Docket Number CSI-2014

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Examiner	Date	
Signature	Considered	

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	INFORMATION DISCLOSURE		Filing Date April 5, 2001			
	STATEMENT BY APPLICANT			First Named Inventor	Laurent Schaller	
				Group Art Unit	3743	
	(use as many sheets a	s necessary	)	Examiner Name	Kathryn Odland	
Sheet	4	of	8	Attorney Docket Number	CSI-2014	

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Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449A/PTO				Complete II Known			
INFORMATION BIGGI COURT				Application Number	09/828,322		
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	STATEMENT BY APP	LIC	ANT	First Named Inventor	Laurent Schaller		
				Group Art Unit	3743		
	(use as many sheets as nec	essa	y)	Examiner Name	Kathryn Odland		
Sheet	5	of	8	Attorney Docket Number	CSI-2014		

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Examiner Signature	Date Considered	
*EXAMINER: Initial if reference considered, whether or not cit	tion is in conformance with MPEP 609. Draw line through citation if not in conformance	and not

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Substitute f	for form 1449A/PTO			c	mplete if Known	
	MIEODMATION D			Application Number	09/828,322	
	INFORMATION DI			Filing Date	April 5, 2001	
	STATEMENT BY	APPLIC/	ANT	First Named Inventor	Laurent Schaller	
				Group Art Unit	3743	
	(use as many sheets	as necessar	γ)	Examiner Name	Kathryn Odland	
Sheet	6	of	8	Attorney Docket Number	CSI-2014	

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	INCORMATION DIO			Application Number	09/828,322
	INFORMATION DIS			Filing Date	April 5, 2001
	STATEMENT BY A	PPLICA	NT.	First Named Inventor	Laurent Schaller
				Group Art Unit	3743
	(use as many sheets as	necessary)		Examiner Name	Kathryn Odland
Sheet	7	of	8	Attorney Docket Number	CSI-2014

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				Application Number	09/828,322	
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				Group Art Unit	3743	
			ry)	Examiner Name	Kathryn Odland	
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